

For more information visit [www.iom.edu/sharingclinicaltrialdata](http://www.iom.edu/sharingclinicaltrialdata)

# Discussion Framework for Clinical Trial Data Sharing

## Specific Topics for Public Feedback

As required in the charge to the committee, *Discussion Framework for Clinical Trial Data Sharing: Guiding Principles, Elements, and Activities* is being released for public comment. The committee welcomes comments from interested parties to help ensure that major concerns and areas are not overlooked, and particularly invites comments on the difficult or complex topics outlined in Box 1 of the framework.

Comments may be submitted to the committee at either of two forthcoming public workshops, or via the committee's project website, <http://www8.nationalacademies.org/cp/projectview.aspx?key=49578>. The specific topics for public feedback are below.

### Global Implementation and Practical Consideration

- Because most large clinical trials are global in nature, how can clinical trial data be shared in that global context? How can different national regulations for research participants' privacy protections, approval of drugs and devices, data exclusivity and intellectual property laws, resources, and health priorities be taken into account?
- How might strategies and approaches regarding data sharing take into account clinical trials conducted in resource-poor settings; trials designed by citizen-scientists using data they contribute directly; and trials designed through participatory research?

### Timing and Prioritization

- How might different types of clinical trial data, and different uses of shared data, be prioritized for sharing? What would be the rationale for placing a higher priority on certain types of data or analyses? What might be the advantages and disadvantages of distinguishing highest priority sharing of clinical trial data from other sharing activities?
- What might be the advantages and disadvantages to various stakeholders of sharing different types of datasets, at different points in time after the completion of a clinical trial?
- Should programs or approaches calling for or requiring new data sharing apply only to new trials undertaken from the date of a new program forward, or retroactively apply to clinical trials started before the data sharing program was initiated?

### Mitigating Risks

- What might be done to minimize the risks to patients and to public health from the dissemination of findings from invalid analyses of shared clinical trial data?
- What measures should be deployed to minimize the privacy and confidentiality risks to trial participants? For example, are current anonymization or de-identification methodologies sufficient?
- Under what circumstances are identifiable data needed to fulfill articulated purposes of a data sharing activity? Under what circumstances might re-identification of trial participants be beneficial (for the participants or the public)? Have there been there examples of instances of re-identification of trial participants (e.g., for safety reasons to warn a patient of a potential risk, or for questionable and potentially unethical reasons) and what were the impacts?



## Committee on Strategies for Responsible Sharing of Clinical Trial Data

**Bernard Lo** (Chair)  
President, The Greenwall Foundation

**Timothy Coetzee**  
Chief Research Officer, National Multiple Sclerosis Society

**Dave Demets**  
Professor and Chair, Department of Biostatistics and Medical Informatics, University of Wisconsin

**Jeffrey Drazen**  
Editor-in-Chief, *New England Journal of Medicine*

**Steven Goodman**  
Professor, Medicine & Health Research & Policy, Stanford University School of Medicine

**Patricia King**  
Carmack Waterhouse Professor of Law, Medicine, Ethics and Public Policy, Georgetown University Law Center

**Trudie Lang**  
Principal Investigator, Global Health Network, Nuffield Department of Medicine, University of Oxford

**Deven McGraw**  
Director, Health Privacy Project, Center for Democracy & Technology

**Elizabeth Nabel**  
President, Brigham and Women's Hospital

**Arti Rai**  
Elvin R. Latty Professor of Law, Duke University School of Law

**Ida Sim**  
Associate Professor of Medicine and Co-Director of Biomedical Informatics of the Clinical and Translational Science Institute, University of California at San Francisco

**Sharon Terry**  
President and CEO, Genetic Alliance

**Joanne Waldstreicher**  
Chief Medical Officer, Johnson & Johnson

### Study Staff

**Anne B. Claiborne**  
Senior Program Officer

**LeighAnne Olsen**  
Interim Study Director (until November 2013)

**Rebecca N. Lenzi**  
Study Director (from November 2013)

**Michelle Mancher**  
Associate Program Officer

**Rachel Kirkland**  
Senior Program Assistant (until October 2013)

**Barret J. Zimmerman**  
Senior Program Assistant (from October 2013)

**Andrew M. Pope**  
Director, Board on Health Sciences Policy

### Consultant

**Theresa Wizemann**  
Wizemann Scientific Communications, LLC

### Study Sponsors

AbbVie Inc.

Amgen Inc

AstraZeneca Pharmaceuticals

Bayer

Biogen Idec

Bristol-Myers Squibb

Burroughs Wellcome Fund

Doris Duke Charitable Foundation

Eli Lilly and Company

EMD Serono

Food and Drug Administration

Genentech

GlaxoSmithKline

Johnson & Johnson

Medical Research Council (UK)

Merck & Co., Inc.

National Institutes of Health

Novartis Pharmaceuticals Corporation

Novo Nordisk

Pfizer Inc.

Sanofi-Aventis

Takeda

The Wellcome Trust

## Enhancing Incentives

- What incentives and protections might be established to encourage clinical trial sponsors and clinical investigators to continue to conduct clinical trials in the future, without unduly restricting the sharing of certain types of data? How do we protect or provide incentives for researchers to share data?
- What is the appropriate responsibility of the primary investigator(s) or research institution(s) to support secondary users in their interpretation of shared data, and what infrastructure or resources are needed to enable such ongoing support? For those with experience in data sharing, what is the burden of providing such support to help others understand and use the provided information?

## Measuring Impact

- What would be appropriate outcome measures to assess the usefulness of different models of clinical trial data sharing, and how can they be used to guide improvements in data sharing practices?

## INSTITUTE OF MEDICINE

OF THE NATIONAL ACADEMIES

Advising the nation • Improving health

500 Fifth Street, NW  
Washington, DC 20001

TEL 202.334.2352

FAX 202.334.1412

[www.iom.edu](http://www.iom.edu)

**The Institute of Medicine serves as adviser to the nation to improve health.**

Established in 1970 under the charter of the National Academy of Sciences, the Institute of Medicine provides independent, objective, evidence-based advice to policy makers, health professionals, the private sector, and the public.

Copyright 2014 by the National Academy of Sciences. All rights reserved.